Theorizing and Analyzing Agency in Second Language Learning

SECOND LANGUAGE ACQUISITION

Series Editor: Professor David Singleton, University of Pannonia, Hungary and Fellow Emeritus, Trinity College, Dublin, Ireland

This series brings together titles dealing with a variety of aspects of language acquisition and processing in situations where a language or languages other than the native language is involved. Second language is thus interpreted in its broadest possible sense. The volumes included in the series all offer in their different ways, on the one hand, exposition and discussion of empirical findings and, on the other, some degree of theoretical reflection. In this latter connection, no particular theoretical stance is privileged in the series; nor is any relevant perspective – sociolinguistic, psycholinguistic, neurolinguistic, etc. – deemed out of place. The intended readership of the series includes final-year undergraduates working on second language acquisition projects, postgraduate students involved in second language acquisition research, and researchers and teachers in general whose interests include a second language acquisition component.

Full details of all the books in this series and of all our other publications can be found on http://www.multilingual-matters.com, or by writing to Multilingual Matters, St Nicholas House, 31–34 High Street, Bristol BS1 2AW, UK.

Theorizing and Analyzing Agency in Second Language Learning

Interdisciplinary Approaches

Edited by **Ping Deters, Xuesong (Andy) Gao, Elizabeth R. Miller and Gergana Vitanova**

MULTILINGUAL MATTERS Bristol • Buffalo • Toronto

We dedicate this volume to Professor Leo van Lier, whose groundbreaking contribution to research on agency has inspired and greatly influenced our work.

Library of Congress Cataloging in Publication Data

Theorizing and Analyzing Agency in Second Language Learning: Interdisciplinary Approaches/Edited by Ping Deters, Xuesong (Andy) Gao, Elizabeth R. Miller and Gergana Vitanova.

Second Language Acquisition: 84

Includes bibliographical references and index.

1. Second language acquisition—Study and teaching. 2. Second language acquisition— Methodology. 3. Language and languages—Study and teaching. 4. Interdisciplinary approach in education. I. Deters, Ping, editor. II. Gao, Xuesong, editor. III. Miller, Elizabeth R.- editor. IV. Vitanova, Gergana, editor. P118.2.T46 2014

418.0071-dc23 2014025228

British Library Cataloguing in Publication Data

A catalogue entry for this book is available from the British Library.

ISBN-13: 978-1-78309-289-5 (hbk) ISBN-13: 978-1-78309-288-8 (pbk)

Multilingual Matters

UK: St Nicholas House, 31-34 High Street, Bristol BS1 2AW, UK. USA: UTP, 2250 Military Road, Tonawanda, NY 14150, USA. Canada: UTP, 5201 Dufferin Street, North York, Ontario M3H 5T8, Canada.

Website: www.multilingual-matters.com Twitter: Multi_Ling_Mat Facebook: https://www.facebook.com/multilingualmatters Blog: www.channelviewpublications.wordpress.com

Copyright © 2015 Ping Deters, Xuesong (Andy) Gao, Elizabeth R. Miller, Gergana Vitanova and the authors of individual chapters.

All rights reserved. No part of this work may be reproduced in any form or by any means without permission in writing from the publisher.

The policy of Multilingual Matters/Channel View Publications is to use papers that are natural, renewable and recyclable products, made from wood grown in sustainable forests. In the manufacturing process of our books, and to further support our policy, preference is given to printers that have FSC and PEFC Chain of Custody certification. The FSC and/or PEFC logos will appear on those books where full certification has been granted to the printer concerned.

Typeset by Techset Composition India(P) Ltd., Bangalore and Chennai, India. Printed and bound in Great Britain by the Lavenham Press Ltd.

Contents

	Contributors Acknowledgments	vii xiii
1	Introduction to Theorizing and Analyzing Agency in Second Language Learning: Interdisciplinary Approaches Gergana Vitanova, Elizabeth R. Miller, Xuesong (Andy) Gao and Ping Deters	1
	Part 1: Theoretical Approaches to Agency	
2	Structure, Agency, Individualization and the Critical Realist Challenge David Block	17
3	Dialogical View on Language Learners' Agency: Connecting Intrapersonal with Interpersonal Hannele Dufva and Mari Aro	37
4	Examining Agency in (Second) Language Socialization Research <i>Patricia A. Duff and Liam Doherty</i>	54
5	Theorizing Young Language Learner Agency through the Lens of Multilingual Repertoires: A Sociocultural Perspective <i>Chatwara Suwannamai Duran</i>	73
6	Sociological Approaches to Second Language Learning and Agency <i>Carola Mick</i>	91
	Part 2: Analytical Approaches to Investigating Agency	
7	Performing and Accounting Language and Identity: Agency <i>as</i> Actors-in-(inter)action-with-tools <i>Sangeeta Bagga-Gupta</i>	113

vi	Theorizing and Analyzing Agency in Second Language Learning	
8	'He's the Star!': Positioning as a Tool of Analysis to Investigate Agency and Access to Learning Opportunities in a Classroom Environment <i>Hayriye Kayi-Aydar</i>	133
9	'Crossing' into the L2 and Back: Agency and Native-like Ultimate Attainment by a Post-critical-period Learner <i>Adnan Ajsic</i>	154
10	Analyzing Learner Agency in Second Language Learning: A Place-based Approach Peter W. Stanfield	173
	Part 3: Pedagogical Practices for Agency	
11	Agency, Anxiety and Activity: Understanding the Classroom Behavior of EFL Learners <i>Christina Gkonou</i>	195
12	Verbalizing in the Second Language Classroom: Exploring the Role of Agency in the Internalization of Grammatical Categories <i>Próspero N. García</i>	213
13	Critical Discourse Analysis in a Medical English Course: Examining Learner Agency through Student Written Reflections Theron Muller	232
14	Toward a Relationship-oriented Framework: Revisiting Agency By Listening to the Voices of Children <i>Man-Chiu Amay Lin</i>	252
15	Afterword Anna De Fina	271
	Author Index Subject Index	277 279

Contributors

Editors' Biographies

Ping Deters is a Professor in the English Language Institute of Seneca College in Toronto, Canada. Her areas of interest include sociocultural perspectives on the role of identity in SLA, the acculturation and integration of immigrants and international students, comparative and international education, preservice and in-service teacher development, and qualitative and narrative research. Deters has published in journals such as *The Canadian Modern Language Review, The Modern Language Journal, TESL Canada Journal, Contact* and *Activities, Adaptation and Aging.* In addition, Deters has published a monograph, *Identity, Agency and the Acquisition of Professional Language and Culture* (2011) with Continuum.

Xuesong (Andy) Gao is an Associate Professor in the Division of English Language Education, Faculty of Education, the University of Hong Kong. His current research and teaching interests are in the areas of learner autonomy, sociolinguistics, vocabulary studies, language learning narratives and language teacher education. His major publications appear in journals including *Applied Linguistics, English Language Teaching Journal, Journal of Multilingual and Multicultural Development, Language Teaching Research, Studies in Higher Education, System, Teaching and Teacher Education, TESOL Quarterly* and *World Englishes.* In addition, he has published one research monograph (*Strategic Language Learning*) and co-edited a volume on identity, motivation and autonomy with Multilingual Matters. He is a co-editor for *System: An International Journal of Educational Technology and Applied Linguistics.*

Elizabeth R. Miller is an Associate Professor in the Department of English at the University of North Carolina at Charlotte. Her research uses finegrained discourse analysis in exploring issues relating to identity, learner agency, power relations and language ideologies as they emerge in interactions involving adult immigrant learners of English. She has published in journals such as *Applied Linguistics, Modern Language Journal, Linguistics and Education, Multilingua, TESOL Quarterly, Critical Inquiry in Language Studies* and *Journal of Politeness Research* and is the author of *The Language of Adult Immigrants: Agency in the Making* (2014) with Multilingual Matters. In that book she adopts various kinds of discourse analytic approaches in exploring how immigrant small business owners position themselves as agentic figures in constructing narratives about their language-learning and work experiences.

Gergana Vitanova is currently an Associate Professor at University of Central Florida. Her research interests explore sociocultural issues of second language and focus on the interplay between second language learning, identity, gender and agency. She is particularly interested in Russian philosopher Bakhtin's dialogical theory and its application to the analysis of narrative discourse data. She has published in journals such as *Journal of Language*, *Identity, and Education, Critical Inquiry in Language Studies* and *Language and Dialogue*. She is also the author of the book *Authoring the Dialogical Self: Gender, Agency, and Language Practices* (2010) with John Benjamins and a co-editor of the volume *Dialogues with Bakhtin on Second and Foreign Language Learning: New Perspectives* with Lawrence Erlbaum (2005).

Chapter Author Biographies

Adnan Ajsic is a PhD candidate in Applied Linguistics at Northern Arizona University. His research interests include language ideology, corpus linguistics, critical discourse analysis, English as a global lingua franca, language policy in post-colonial and post-communist societies and supranational institutions, family language policy, as well as pedagogical applications of corpora and individual differences in second language acquisition. His writing has appeared in *Language Policy*, *English for Specific Purposes* and the *Journal of Language and Politics*. Adnan is currently working on his dissertation, which investigates language ideologies and ethnonational identities in the Balkans.

Mari Aro currently works as a post-doctoral researcher at the Department of Languages, University of Jyväskylä, in a Science Workshop project 'Agency and Languaging: Perspectives on Learning-in-the-world', funded by the Finnish Cultural Foundation. Aro's doctoral dissertation on Finnish children's beliefs of foreign language learning (Aro, 2009) discussed language learners' beliefs in the dialogical framework, using the concepts of voice and agency in its analysis. Her current research focuses on a follow-up study where she re-investigates the research participants of her former study, now young adults.

Sangeeta Bagga-Gupta is full Professor of Education at the Center for Feminist Social Studies, Örebro University, Sweden. Her transdisciplinary

research encompasses traditionally separate fields like literacies, monomultilingualism, multimodality, learning and identities (gender, functional disabilities, ethnicity). Using multiscale ethnography across time and space, she studies everyday life, policy and sociohistorical dimensions of social practices both inside and outside institutional environments, primarily from anthropological and postcolonial approaches. She has published articles and chapters in different academic domains including Communication Studies, Deaf Studies and Educational Sciences over the past few decades. Her books include *Literacies and Deaf Education* (2004), *Alternative Voices. (Re)searching Language, Culture and Diversity*... (co-edited, 2013) and *Literacy-praktiker i och utanför skolan* (co-edited, Swedish: Literacy-practices inside and outside school, 2013). She has since 2008 headed the Swedish Research Councilfunded multidisciplinary National Research School LIMCUL (Literacies, Multilingualism and Cultural Practices in Present Day Society).

David Block is ICREA (Institució Catalana de Recerca i Estudis Avançats) Research Professor in Sociolinguistics at the University of Lleida (Spain). His main interests are the impact of political economic, sociological, anthropological and geographical phenomena on multimodal practices of all kinds (including social movements, multiculturalism, bi/multilingualism and the acquisition and use of languages). In his more recent work he has focused specifically on neoliberalism as the dominant ideology in contemporary societies and social class as a key dimension of identity, all of which is reflected in his two most recent books: *Neoliberalism and Applied Linguistics* (2012; co-authored with John Gray and Marnie Holborow) and *Social Class and Applied Linguistics* (Routledge, 2014). He is editor of the Routledge book series Language, Society and Political Economy.

Anna De Fina is Associate Professor of Italian Language and Linguistics in the Italian Department at Georgetown University. Her interests and publications focus on discourse and migration, identity and narrative. She has authored numerous articles in internationally renowned journals and edited special issues on these topics. Her books include *Identity in Narrative: A Study of Immigrant Discourse* (2003, John Benjamins) and the co-edited volumes *Dislocations, Relocations, Narratives of Migration* (2005, St Jerome Publishing, with M. Baynham), *Discourse and Identity* (2006, Cambridge University Press, 2006, with Deborah Schiffrin and Michael Bamberg) and *Selves and Identities in Narrative and Discourse* (2007, John Benjamins, with Michael Bamberg and Deborah Schiffrin). Her latest publication is the volume *Analyzing Narratives* (2011, Cambridge University Press, co-authored with Alexandra Georgakopoulou).

Liam Doherty is a PhD student in Modern Language Education at the University of British Columbia. His research interests include World Chineses,

diversity and multilingualism in Chinese as a second/foreign/heritage language education, digital literacy and Chinese learner identity. Topics of his recent research include narrative language socialization through popular television dramas and the digitally mediated agency of Mandarin learners using social media and mobile platforms to aid in their literacy development. He previously completed an MA thesis at the University of Toronto on changing contexts and practices in international Chinese language education.

Patricia (Patsy) Duff is a Professor of Language and Literacy Education at the University of British Columbia, where she coordinates graduate programs in TESL and Modern Language Education and is Co-Director of the Centre for Research in Chinese Language and Literacy Education. Her books and guest-edited issues of journals include the following titles: *Language Socialization* (Vol. 8, *Encyclopedia of Language and Education*, with N. Hornberger), *Inference and Generalizability in Applied Linguistics* (with M. Chalhoub-Deville and C. Chapelle), *Case Study Research in Applied Linguistics, Language and Work* (with N. Labrie), *Indigenous, Minority, and Heritage Language Education in Canada* (with D. Li), *Issues in Chinese Language Education and Teacher Development* (with P. Lester) and, most recently, *Learning Chinese: Linguistic, Sociocultural, and Narrative Perspectives* (with five co-authors). She has published journal articles and book chapters on these and related topics as well.

Hannele Dufva is a Professor of Language Learning and Education at the Department of Languages, University of Jyväskylä, Finland. With dialogism and the work of the Bakhtin Circle as her theoretical background, she has published numerous articles on applied linguistics and language learning and teaching and edited a number of books. Her research is focused on understanding the role of cognition in learning and using languages, and her current research particularly draws on the notions of distributed language and cognition.

Chatwara Suwannamai Duran is Assistant Professor of Applied Linguistics at the University of Houston, where she teaches courses in linguistics and sociolinguistics. Originally from Bangkok Thailand, and having studied in both Europe and the USA, she has learned to appreciate and explore multilingualism in both local and global contexts. Her current research focuses on transnational families' lived experiences, multilingual repertoires and literacies that are complicated by migration, globalization and contested language ideologies in the sending and receiving nations.

Próspero N. García (PhD, University of Massachusetts, Amherst) is an Assistant Professor of Spanish Applied Linguistics at Rutgers University, Camden. His research interests lie in the fields of Spanish second language

acquisition and pedagogy, Vygotsky's theory of mind, second language evaluation and assessment, and technology-enhanced language learning. His most recent works explore the role of agency in the conceptualization of grammatical categories, and the implementation of concept-based teaching in the L2 Classroom.

Christina Gkonou (PhD, University of Essex, UK) teaches linguistics, psycholinguistics and methodology of TEFL to undergraduate students at the University of Essex. She also works as an EFL and EAP teacher at the same university. She holds a BA in English language and philology, and an MA in TEFL. Her main research interests lie in the area of individual differences and the psychology of language learning.

Hayriye Kayi-Aydar is an Assistant Professor in the Department of Curriculum and Instruction at the University of Arkansas, where she teaches courses on ESL/ELL education. Her current research works with discourse, narrative and pedagogy, at the intersections of the second language acquisition, interactional sociolinguistics and social psychology. Her research interests focus on positional identities, positioning, power and learning opportunities in classroom settings.

Man-Chiu Amay Lin received her PhD from the applied linguistics program at Arizona State University and currently works as a community activist. Her research interest focuses on the language education of the ethnic minorities in Taiwan, including the Indigenous and immigrant populations, exploring the relationship among language, culture, identity and oppression in a globalizing world. Her dissertation takes a praxis-oriented stance and uses ethnographic, collaborative methods to re-construct the role of the Indigenous language (Truku) in one Truku village in eastern Taiwan.

Carola Mick works as an Assistant Professor (maître de conférences) at the department of Linguistics of the University Paris Descartes in France. She holds a PhD in sociolinguistics and applied linguistics of the department of romance languages (French, Spanish) of the University of Mannheim (Germany), and worked as a post-doc in the interdisciplinary research group LCMI (Language, Culture, Media, Identity) at the University of Luxembourg. She specializes in the critical analysis of discourses in the areas of migration and education, and is particularly interested in questions of the social, discursive construction of identity and learning in multilingual contexts.

Theron Muller is an Associate Professor at the University of Toyama, Japan. His publications include exploration of TBL and academic publishing. He is also lead editor on two book projects related to EFL, the recently published *Innovating EFL Teaching in Asia* (2012) and *Exploring EFL Fluency in*

xii Theorizing and Analyzing Agency in Second Language Learning

Asia (Forthcoming, 2014), both with Palgrave Macmillan. Currently his research interests involve investigating the experiences of authors pursuing academic publication and improvement of journal review systems. He is active with *JALT Publications* and the *Asian ESP Journal* and part of the University of Birmingham English Language and Linguistics Open Distance Learning team.

Peter W. Stanfield (EdD) is Program Chair of Social and Behavioral Studies at the Higher Colleges of Technology in the Western Region of Abu Dhabi, United Arab Emirates. His career in the UK, Denmark and the Middle East spans primary, secondary, undergraduate and graduate teaching within formal classroom settings as well as in community and outdoor places. His research interests center on place-based education and teacher development. He is a reviewer for the *International Journal of Bilingual and Multilingual Teachers of English* and has made contributions to pedagogic books on English language teaching research.

Acknowledgments

We would like to extend our gratitude to Laura Longworth, the Acquisitions Editor and Rights Manager of Multilingual Matters, for her support from the very conception of this volume through the final editing stages.

We are also indebted to the external reviewers who provided insightful feedback on the chapters in this book:

Jennifer Bown, Brigham Young University, Provo, UT, USA

Mingyue (Michelle) Gu, The Chinese University of Hong Kong, Hong Kong

Ruth Harmon, University of Georgia, Athens, GA, USA

Peter Jing Huang, Hong Kong Baptist University, Hong Kong

In Chull Jang, Ontario Institute for Studies in Education/University of Toronto (OISE/UT), Toronto, Canada

Robert Kohls, Ontario Institute for Studies in Education/University of Toronto (OISE/UT), Toronto, Canada

Joshua M. Paiz, Purdue University, West Lafayette, IN, USA

Manka Vargese, University of Washington, Seattle, WA, USA

Julia Menard-Warwick, University of California, Davis, CA, USA

Doris Warriner, Arizona State University, Tempe, AZ, USA

Yongyan Zheng, Fudan University, China

Introduction to Theorizing and Analyzing Agency in Second Language Learning: Interdisciplinary Approaches

Gergana Vitanova, Elizabeth R. Miller, Xuesong (Andy) Gao and Ping Deters

Agency Situated Historically

This book showcases how language learner agency can be understood and researched from varying perspectives by providing, for the first time, a collection of diverse theoretical, analytic and pedagogical approaches in one volume. The concept of human agency has generated considerable interest across various disciplines – philosophy, psychology, sociology and anthropology – for some time, and this scholarly conversation regarding how to understand humans' capacity to act shows no signs of abating soon. While the notions of *agency* and *the self* have always seemed inherently intertwined, *agency* has been far more difficult to define, although it has been viewed, understandably, as one of the many facets of the self. Thus, the idea of agency or our understanding of the nature of humans' capacity for agency has been, to a large extent, determined by historically influential models that explain the nature of the self.

At least four different models of selfhood have emerged and influenced scholars' perspectives regarding what constitutes both subjectivity and agency. The traditional understanding of self (for a summary, see Hermans & Hermans-Konopka, 2010) is largely represented in myths and rituals, and these have helped humans understand the most significant events of their lives, such as birth and death. Body and spirit were viewed as two separate entities in this traditional model, and the spiritual reality was viewed as the higher one. The modernist conception of self was strongly influenced by

Enlightenment era perspectives, and it was marked by what Hermans and Hermans-Konopka (2010: 87) call 'an unprecedented autonomy' with its different forms of individualism. The self was seen not only as possessing an essential and unchanging core but also as independent and rational. Choice and action, which have come to be closely associated with agency, form an important component of this rational, individualistic self. For centuries, or at least ever since Aristotle, agency has also been associated with consciousness. Contemporary philosopher Korsgaard (2009), for example, illustrates the importance of self-awareness for agency in her statement:

The identity of a person, of an agent, is not the same as the identity of the human animal on whom the person normally supervenes. I believe that human beings differ from the other animals in an important way. We are self-conscious of the grounds on which we act, and therefore are in control of them ... When you deliberately decide what sorts of effects you will bring about in the world, you are also deliberately deciding what sort of cause you will be. And that means you are deciding who you are. (Korsgaard, 2009: 19)

Deliberate, conscious choices and actions that are, at the same time, intrinsically moral underlie most Western perspectives on agency. Korgsaard's excerpt also reflects that, for a long time, and in different disciplines, the relationship between *agency* and *identity* has been perceived as deeply entangled. Human actions and experience have occupied a central role as well.

When outlining the development of self as subject in psychology, Blasi and Glodis (1995: 416) point out that '[i]n every intentional action that we perform, in every experience that we undergo, we experience ourselves, *in the process of acting and experiencing*, as related to our actions and experiences' (emphasis in original). Psychologists see the relationship between subjects, actions and experience as organic. Not all acts exemplify human agency, however. Agency requires not merely the ability to produce a change in the world, but also that acts should be knowingly, consciously undertaken by subjects. Thus, reflexivity has emerged as another significant component of agency (Kogler, 2012).

In contrast, in a movement that opposed modernism and came to be known as postmodernism, the self is viewed as decentralized and unstable. Perhaps most importantly in terms of agency, the self appears stripped of its personal autonomy. For instance, feminist poststructuralism (Weedon, 1997), which prefers the term *subjectivity* to *identity* and accentuates the discursive, languaged nature of selves, has been employed in applied linguistics exactly because of its focus on how discourses offer various positions for subjects. While there are different postmodern approaches, what characterizes them most broadly is an understanding of the self as constituted through language (Foucault, 1972; Lacan, 1977). Unlike the traditional or modern